



GP-2184 #4

CERTIFICATE OF MAILING  
37 C.F.R. § 1.8

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10/04/02  
Date

Kathryn Nanas  
Signature

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Dale E. Gulick

Serial No.: 10/084,596

Filed: February 27, 2002

For: EMBEDDED PROCESSOR WITH  
DIRECT CONNECTION OF SECURITY  
DEVICES FOR ENHANCED SECURITY

Group Art Unit: 2184

Examiner: Unknown

Atty. Dkt. No.: 2000.051900/TT4033

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Assistant Commissioner is hereby authorized to deduct said fees from Williams, Morgan & Amerson, P.C., Deposit Account No. 50-0786/2000.051900/TT4033.

This application is a continuation-in-part application of Serial No. 10/005,648 filed on December 3, 2001 and is relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with Rule 37 C.F.R. § 1.98(d) copies of the listed documents are not enclosed as they have been previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. 10/005,648.

Applicant respectfully requests that the listed documents be made of record in the present case.

Applicants additional request that the following co-pending patent applications also be considered in the present case:

<b>WMA NOS.</b>	<b>SERIAL NOS.</b>
2000.038300	09/852,372
2000.038400	09/852,942
2000.038600	09/853,446
2000.038700	09/853,447
2000.038800	09/870,889
2000.038900	09/853,225
2000.039000	09/871,084
2000.039100	09/871,511
2000.039200	09/544,858
2000.039300	09/853,226
2000.039400	09/854,040
2000.039500	09/853,465

2000.039600	09/853,443
2000.039700	09/853,437
2000.063200	09/853,335
2000.080000	09/853,234
2000.080100	09/870,890
2000.051400	10/045,117
2000.051500	10/033,142
2000.051600	10/005,648
2000.051700	10/066,879
2000.051800	10/067,175
2000.052000	10/066,948
2000.052100	10/023,233
2000.052200	10/044,707

Respectfully submitted,



Mark W. Sincell  
Reg. No. 52,226

WILLIAMS, MORGAN & AMERSON  
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Date: October 4, 2002

Form PTO-1449 (modified)

Atty. Docket No.  
2000.051900/TT4033Serial No.  
10/084,596

List of Patents and Publications for Applicant's

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant  
DALE E. GULICKFiling Date:  
Feb. 27, 2002Group:  
2184U.S. Patent Documents  
*See Page 1*Foreign Patent Documents  
*See Page 1*Other Art  
*See Page 1*

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1						
	A2						
	A3						
	A4						
	A5						

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## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						
	B3						
	B4						
	B5						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Intel, "Low Pin Count (LPC) Interface Specification Revision 1.0," pp. 1-31 (09/29/97)
	C2	Standard Microsystems Corporation, "100 Pin Enhanced Super I/O for LPC Bus with SMBus Controller for Commercial Application," Part No. LPC47B37x, pp. 1-254 (06/17/99)
	C3	Intel, "Communication and Networking Riser Specification," Revision 1.0 (02/07/2000)
	C4	FIPS Pub 140-1 Federal Information Processing Standards Publication, "Security Requirements for Cryptographic Modules" (01/11/1994)
	C5	"Handbook of Applied Cryptography" CRC Press 1997 pp. 154 - 157, 160 - 161, 191 - 198, 203 - 212

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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*See Page 1*Other Art  
*See Page 1***Other Art (Including Author, Title, Date Pertinent Pages, Etc.)**

Exam. Init.	Ref. Des.	Citation
	C6	DMTF, "Alert Standard Format (ASF) Specification" DSP0114, Version 1.03, 06/20/2001
	C7	Intel, "Advanced Configuration and Power Interface Specification," Revision 1.0b, 02/02/1999
	C8	Intel, "Advanced Configuration and Power Interface Specification," Revision 2.0, 07/27/2000
	C9	Intel, "Advanced Configuration and Power Interface Specification," Revision 2.0 Errata, Errata Document Rev. 1.5, 04/13/2001
	C10	Case, Fedor, Schoffstall, Davin, "A Simple Network Management Protocol (SNMP)" May, 1990
	C11	"Network Device Class Power Management Reference Specification," Version 210, 10/12/2000 pp. 1-9
	C12	Intel, "IPMI Intelligent Platform Management Interface Specification v 1.0," Document Revision 1.0, 09/16/1998
	C13	Intel, "IPMI Intelligent Platform Management Interface Specification v 1.5," Document Revision 1.0, 02/21/2001
	C14	Intel, "IPMI v 1.5 Addenda, Errata, and Clarifications – Intelligent Platform Management Interface Specification v1.5, revision 1.0," Addendum Document Revision 3, 05/16/2001
	C15	Intel, "IPMI Platform Management FRU Information Storage Definition v1.0," Document Revision 1.0, 09/16/1998
	C16	Socolofsky et al., "A TCP/IP Tutorial," January 1991
	C17	Intel, "IMPI Platform Management FRU Information Storage Definition v1.0, Document Revision 1.1, 09/27/1999
	C18	Intel, "Metolious ACPI/Manageability," Specification v1.0, 04/30/1999
	C19	Intel, "IPMI Intelligent Platform Event Trap Format Specification v 1.0," Document Revision 1.0, 12/07/1998
	C20	DMTF, "Common Information Model (CIM) Specification," DSP0004, Version 2.2, 06/14/1999
	C21	Intel, "SMBus Control Method Interface Specification," Version 1.0, 12/10/1999

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*See Page 1*Foreign Patent Documents  
*See Page 1*Other Art  
*See Page 1***Other Art (Including Author, Title, Date Pertinent Pages, Etc.)**

Exam. Init.	Ref. Des.	Citation
	C22	Intel, "System Management BIOS Reference Specification," Version 2.3.1, March 1999
	C23	Intel, "System Management Bus Specification," Revision 1.0, 02/15/1995
	C24	Intel, "System Management Bus (SMBus) Specification," SBS Implementers Forum, Revision 2.0, 08/03/2000
	C25	J. Postel, "User Datagram Protocol," ISI 08/28/1980
	C26	"About the 8051 Microcontroller," pp. 1-16
	C27	Intel, "8259A Programmable Interrupt Controller (8259A/8259A-2)," December, 1988
	C28	Intel, "Intel® 840 Chipset Platform Design Guide," October, 1999
	C29	AMD, "AMD's Altera™ Technology for Advanced Systems Management," Publication No. 22297 Rev. A, December, 1998
	C30	AMD, "AMD-766™ Peripheral Bus Controller Data Sheet," 23167B March, 2001

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)